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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. LEX-002C1

First Named Inventor Gillies

Title Heterodimeric Fusion Proteins Useful for Targeted Immune Therapy and General Immune Stimulation

**APPLICATION ELEMENTS**

1.  Fee Transmittal Form
2.  Small Entity Status
  - Applicant claims small entity status
  - Status established in prior application and is still proper and desired
3.  Specification and Drawings [Total Pages 45]
  - Written Description - (28 pages)
  - Claims - (5 pages)
  - Abstract - (1 page)
  - Sequence Listing - (3 pages)
  - Sheets of Drawings - (8 sheets)
  - Formal
  - Informal
4.  Oath or Declaration [Total Pages 4]
  - a.  Newly executed (original)
  - b.  Copy from a prior application (37 CFR 1.63(d))
 

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]
5.  Incorporation by Reference (usable if Box 4b is checked)
 

The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Application Data Sheet (2 pages)
7.  Nucleotide and/or Amino Acid Sequence Submission
  - Computer Readable Form (CRF)
  - Substitute Paper Copy (identical to computer readable form) (2 pages)
  - CD (2 copies) (identical to computer copy)
  - Statement verifying identity of above copies (2 pages)

ADDRESS TO: Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231 USPTO  
P.O. Box 2327 Arlington, VA 22202

**ACCOMPANYING APPLICATION PARTS**

8.  37 CFR 3.73(b) Statement (*when there is an assignee*)
  - Power of Attorney
9.  English Translation Document (*if applicable*)
10.  Information Disclosure Statement (IDS)
  - PTO-1449
  - Copies of IDS Citations
11.  Preliminary Amendment (3 pages)
  - Drawings [Total Sheets ]
12.  Return Receipt Postcard (*specifically itemized*)
13.  Certified Copy of Priority Document(s) (*if foreign priority claimed*)
14.  Nonpublication Request Under 35 U.S.C. 122(b)
15.  CD in duplicate for large table or computer program
16.  Other:
  - Associate Power of Attorney (2 pages)
  - Copy of petition for three-month extension of time and fee submitted on this day to BOX AF in prior application U.S.S.N. 08/986,997 (1 page)
  - Copy of prior patent application 08/986,997 Cover Sheet (1 page)

17.  If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a

continuation     divisional     continuation-in-part    of U.S. application Serial No. 08/986,997, filed on December 8, 1997, the entire disclosure of which is incorporated by reference herein.--

**Priority to the above application(s) is claimed under 35 U.S.C. 120.**

Prior application information: Examiner: Pak, M. Group/Art Unit: 1646.

18.  Priority - 35 U.S.C. 119
 

- Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.
- The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ / \_\_\_\_\_ on \_\_\_\_\_.
- The certified copy will follow.

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Date: December 4, 2001  
Reg. No.: 41,418  
Tel. No.: (617) 248-7240  
Fax No.: (617)-248-7100

Respectfully submitted,  
*Pet R.H. Wall*  
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COPY

Attorney Docket Number  
LEX-002 (4006/13)

PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

In re Application of Gillies et al.

Application Serial No. 08/986,997

Filed: December 8, 1997

Group Art Unit: 1646

Examiner: Michael Pak

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response in the above entitled application.

The requested extension and appropriate non-small-entity fee are as follows  
(check time period desired)

<input type="checkbox"/>	One month (37 CFR 1.17(a)(1))	\$
<input type="checkbox"/>	Two months (37 CFR 1.17(a)(2))	\$
<input checked="" type="checkbox"/>	Three months (37 CFR 1.17(a)(3))	\$ 920.00
<input type="checkbox"/>	Four months (37 CFR 1.17(a)(4))	\$
<input type="checkbox"/>	Five months (37 CFR 1.17(a)(5))	\$

Applicant claims small entity status under 37 CFR 1.27, therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$\_\_\_\_\_.

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge the required fee to Deposit Account No. 20-0531. Enclosed is a duplicate of this sheet.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 20-0531.
- Return receipt postcard enclosed.

I am the  assignee of record of the entire interest.  
 applicant.  
 attorney or agent of record.  
 attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a). \_\_\_\_\_.

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Date: December 4, 2001 Reg. No. 41,418 Tel. No.: (617) 248-7240 Fax No.: (617) 248-7100

Respectfully submitted,  
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